

Introduction to System and Organization Controls Examination

What is an SOC examination?

A System and Organization Controls (SOC) examination is an independent third-party review used to verify how an organization secures and manages their systems and facilities, internal and external networks, and client data. The examination focuses on reviewing the controls in place to achieve stated organizational objectives, discussions between examiners and subject matter experts to gain an understanding of processes and procedures in place, and testing controls to ensure operating effectiveness. This process is a collaborative effort between the independent auditor and the service organization and often leads to the improvement of processes for the service organization.

Why would my organization need an SOC Examination?

The need for an SOC examination can vary based on the organization, but the most common reasons to obtain a review include gaining independent validation that your organization is securely managing systems and data, meeting regulatory requirements (such as HIPAA, SOX, contractual obligations, or other industry requirements), meeting client requirements (especially for Software as a Service, cloud storage, or technology service providers), or to gain an advantage over competitors. An SOC examination report provides your clients with confidence that you take the security and confidentiality of their data seriously and take a proactive approach to identifying risk areas at your organization before they become risks to your clients.

Who can I speak with regarding a potential SOC Examination?

At Davis Farr, we have a team of experts to help you assess your organization's SOC examination needs. We perform a variety of SOC related services, including readiness reviews, SOC 1 examinations, SOC 2 examinations, among other consulting services. Reach out to our team to schedule a consultation to see which of our services can meet the needs of your organization!

Contact Us

Irvine Office
949.474.2020
Davisfarr.com

Marc Davis, CPA
949.783.1750
mdavis@davisfarr.com

Diego F. Vanegas, CPA, CISA, CITP
949.783.1732
dvanegas@davisfarr.com

Kyle Haas, CFE
949.783.1726
khaas@davisfarr.com